

VERIFICATION OF IDENTITY FORM FOR A CORPORATE ENTITY

Please complete a separate certificate for all parties to the contract (e.g. joint applicants, trustees, settlors and third parties) where you have been required to undertake identification.

1. DETAILS OF CUSTOMER

Full name of customer	
Type of entity	
Location of business (full operating address)	
Registered office address in country of incorporation	
Registered number or equivalent (if applicable)	
Relevant company registry or regulated market listing authority	
Names or directors or equivalent	
Names of principle beneficial owners (over 25%)	

Section A I / We confirm that: (a) the information in the above section was obtained by me / us in relation to the customer; (b) the evidence I / we obtained to verify the identity of the customer: Please tick only one of the following as appropriate		Tick
(i) Meets the standard evidence set out within the guidance for the UK Financial Sector issued by the JMLSG; or		<input type="checkbox"/>
(ii) Exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation)		<input type="checkbox"/>

Section B I/We have not verified the identity of the Applicant for the following reason(s): 	Tick
	<input type="checkbox"/>

Full Name of Regulated Firm:	
Name of Regulator:	Financial Services Authority
Regulator Reference Number:	

Signed*:	
Name:	
Position:	
Date:	

Company Stamp:

* Note that this certificate must be signed by the person who has seen the original documentary evidence.

Source of Wealth (if applicable)	
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Evidence for Business Name				Certified Copy Enclosed
Stock Exchange check	SEDOL No.	Which Exchange?	Date of check	
Recognised Organisation Search	Reg. No	Name of Recognised Organisation	Date of check	
Company Search (also complete details for Certificate of Incorporation below)	Status Active? Y/N. If no, further checks will be required before proceeding with the business.		Date of check	
Certificate of Incorporation	Co. No.	Country of Origin	Date of Inc.	
Lawyer's/Accountant's letter confirming documents have been submitted to the relevant companies registry	Ref No.	Name of Firm & Referee	Date of issue	
Partnership Agreement	Ref No.	Where held/who notified	Date of agreement	
Certificate of Trade	Ref No.	Name of Issuer	Date of issue	
Copy of latest report and accounts (audited where applicable)	Ref No.	Name of Accountant	Date of issue	
Club/Society Constitution	A certified copy of the document must be attached.			
Resolution or Minute Agreement	A certified copy of the document must be attached.			
Church HQ Approval	Attach details			
Other	Attach details			

Evidence of Business/ Trading Address	Ref/Acc. Number				Certified Copy Enclosed
Visit to Business Premises			Premises entered? Y/N	Date of visit	
Most Recent Mortgage Statement		Name of Lender	Address current? Y/N	Date of issue	
Current Local Authority Tax Bill		Name of Authority	Address current? Y/N	Date of issue	
Bank statement		Name of Issuer/Sort Code	Address current? Y/N	Date of issue	
Utility Bill (not Mobile phone)		Name of Utility	Address current? Y/N	Date of issue	
Customs & Excise VAT Notification	VAT No.	Issuing Office	Address current? Y/N	Date of issue	
Other	Attach details				

Verifying Authorisation of Individual To Act	TICK
Confirmation from firm's Legal Department that the contact will be executing documentation in respect of the transaction.	
Confirm that the request to provide the service is obtained on company headed paper signed by a director	
Call back on independently verified number to a representative of the company other than the primary point of contact.	
Board Resolution giving authority to trade or commence the relationship.	
Copy Register of Shareholders and Register of Directors.	
Letter to the applicants Treasurer / Financial Controller	

List of Shareholders / Directors / Partners / Controllers / Signatories (if applicable)			
Name	Status	%	IVC completed?

(Please use additional sheets to complete this list if required)

